

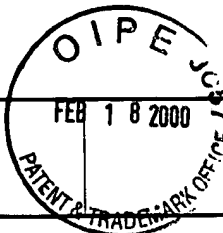
**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
1009.004CIP

In Re Application Of: **Mark R. Allen**

Serial No.  
09/339,616

Filing Date  
June 24, 1999



Examiner  
T. Vo

Group Art Unit  
2821

Title: **PREFERRED EMBODIMENT TO LED LIGHT STRING**

**RECEIVED**

FEB 22 2000

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

TECHNOLOGY CENTER 2800

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☒ a certification as specified in 37 CFR 1.97(e);

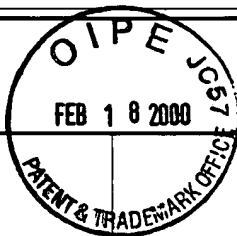
**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

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**Payment of Fee**

**TECHNOLOGY CENTER 2800**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 13-3405 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and fee is being deposited on 2/16/2000 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
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**Liz Chin**

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

  
Signature

Dated: **February 16, 2000**

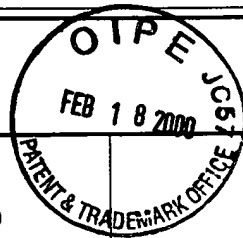
**Robert A. McKinley**  
Reg. No. 43,793  
**SCHNADER HARRISON SEGAL & LEWIS LLP**  
1600 Market Street - Suite 3600  
Philadelphia, PA 19103-7286  
215-751-2181

CC:

STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT

Docket No.  
1009.004CIP

In Re Application Of: Mark R. Allen



Serial No.  
09/339,616

Filing Date  
June 24, 1999

Examiner  
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Group Art Unit  
2821

Invention: PREFERRED EMBODIMENT TO LED LIGHT STRING

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. The foreign search report enclosed herein is related to U.S. Patent Application Serial No. 09/378,631, which is a continuation-in-part of this application.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

  
Signature

Robert A. McKinley  
Reg. No. 43,793  
SCHNADER HARRISON SEGAL & LEWIS LLP  
1600 Market Street - Suite 3600  
Philadelphia, PA 19103-7286  
215-751-2181

Dated: February 16, 2000

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Signature of Person Mailing Correspondence

Liz Chin

Typed or Printed Name of Person Mailing Correspondence

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